ORIGINAL

CONSULTANT AGREEMENT

THIS AGREEMENT, entered into this 23rd day of September, 2013, by and between CITY OF ALAMEDA, a municipal corporation (hereinafter referred to as "City"), and RMC WATER AND ENVIRONMENT, a California corporation whose address is 2001 NORTH MAIN STREET, SUITE 400, WALNUT CREEK, CALIFORNIA 94596, (hereinafter called the Consultant, in reference to the following:

RECITALS:

- A. City is a municipal corporation duly organized and validly existing under the laws of the State of California with the power to carry on its business as it is now being conducted under the statutes of the State of California and the Charter of the City.
- B. Consultant is specially trained, experienced and competent to perform the special services which will be required by this Agreement; and
- C. Consultant possesses the skill, experience, ability, background, certification and knowledge to provide the services described in this Agreement on the terms and conditions described herein.
- D. City and Consultant desire to enter into an agreement to Update the City of Alameda's Sanitary Sewer Master Plan (SSMP), upon the terms and conditions herein.

NOW, THEREFORE, it is mutually agreed by and between the undersigned parties as follows:

1. TERM:

The term of this Agreement shall commence on the 23rd day of September, 2013, and shall terminate on the 30th day of June, 2014, unless terminated earlier as set forth herein.

2. SERVICES TO BE PERFORMED:

Consultant agrees to perform all necessary work at its own cost and expense, to furnish all labor, tools, equipment, materials, except as otherwise specified, and to do all necessary work included in Exhibit A as requested. The Consultant acknowledges that the work plan included in Exhibit A is tentative and does not commit the City to perform all tasks included therein.

3. <u>COMPENSATION TO CONSULTANT:</u>

Consultant shall be compensated for services performed pursuant to this Agreement in the amount set forth in Exhibit "A" which is attached hereto and incorporated herein by this reference. Payment shall be made by checks drawn on the treasury of the City, to be taken from the Sewer Fund (602).

Payment will be made by the City in the following manner: On the first day of each month, Consultant shall submit a written estimate of the total amount of work done the previous month. Payment will be for time and direct costs and are not to exceed budget. Pricing and accounting of charges are to be according to the fee schedule in Exhibit "A" unless mutually agreed upon in writing. Extra work must be approved to in writing by City prior to performance and shall be paid on a Time and Material basis using Exhibit "A" schedule.

Compensation for bid is \$29,430.

4. TIME IS OF THE ESSENCE:

Consultant and City agree that time is of the essence regarding the performance of this Agreement.

5. STANDARD OF CARE:

Consultant agrees to perform all services hereunder in a manner commensurate with the prevailing standards of like professionals in the San Francisco Bay Area and agrees that all services shall be performed by qualified and experienced personnel who are not employed by the City nor have any contractual relationship with City.

6. INDEPENDENT PARTIES:

City and Consultant intend that the relationship between them created by this Agreement is that of employer-independent contractor. The manner and means of conducting the work are under the control of Consultant, except to the extent they are limited by statute, rule or regulation and the express terms of this Agreement. No civil service status or other right of employment will be acquired by virtue of Consultant's services. None of the benefits provided by City to its employees, including but not limited to, unemployment insurance, workers' compensation plans, vacation and sick leave are available from City to Consultant, its employees or agents. Deductions shall not be made for any state or federal taxes, FICA payments, PERS payments, or other purposes normally associated with an employer-employee relationship from any fees due Consultant. Payments of the above items, if required, are the responsibility of Consultant.

7. IMMIGRATION REFORM AND CONTROL ACT (IRCA):

Consultant assumes any and all responsibility for verifying the identity and employment authorization of all of his/her employees performing work hereunder, pursuant to all applicable IRCA or other federal, or state rules and regulations. Consultant shall indemnify and hold City harmless from and against any loss, damage, liability, costs or expenses arising from any noncompliance of this provision by Consultant.

8. NON-DISCRIMINATION:

Consistent with City's policy that harassment and discrimination are unacceptable employer/employee conduct, Consultant agrees that harassment or discrimination directed toward a job applicant, a City employee, or a citizen by Consultant or Consultant's employee or subcontractor on the basis of race, religious creed, color, national origin, ancestry, handicap, disability, marital status, pregnancy, sex, age, or sexual orientation will not be tolerated. Consultant agrees that any and all violations of this provision shall constitute a material breach of this Agreement.

9. HOLD HARMLESS:

Indemnification:

Consultant shall indemnify, defend, and hold harmless City, its City Council, boards, commissions, officials, employees, and volunteers ("Indemnitees") from and against any and all loss, damages, liability, claims, suits, costs and expenses whatsoever, including reasonable attorneys' fees ("Claims"), arising from or in any manner connected to Consultant's negligent act or omission, whether alleged or actual, regarding performance of services or work conducted or performed pursuant to this Agreement. If Claims are filed against Indemnitees which allege negligence on behalf of the Consultant, Consultant shall have no right of reimbursement against Indemnitees for the costs of defense even if negligence is not found on the part of Consultant. However, Consultant shall not be obligated to indemnify Indemnitees from Claims arising from the sole or active negligence or willful misconduct of Indemnitees.

Indemnification For Claims for Professional Liability:

As to Claims for professional liability only, Consultant's obligation to defend Indemnitees (as set forth above) is limited to the extent to which its professional liability insurance policy will provide such defense costs.

10. INSURANCE:

On or before the commencement of the term of this Agreement, Consultant shall furnish City with certificates showing the type, amount, class of operations covered, effective dates and dates of expiration of insurance coverage in compliance with paragraphs 10A, B, C, D and E. Such certificates, which do not limit Consultant's indemnification, shall also contain substantially the following statement: "Should any of the above insurance covered by this certificate be canceled or coverage reduced before the expiration date thereof, the insurer affording coverage shall provide thirty (30) days' advance written notice to the City of Alameda by certified mail, Attention: Risk Manager." It is agreed that Consultant shall maintain in force at all times during the performance of this Agreement all appropriate coverage of insurance required by this Agreement with an insurance company that is acceptable to City and licensed to do insurance business in the State of California. Endorsements naming the City as additional insured shall be submitted with the insurance certificates.

A. COVERAGE:

Consultant shall maintain the following insurance coverage:

(1) Workers' Compensation:

Statutory coverage as required by the State of California.

(2) Liability:

Commercial general liability coverage in the following minimum limits:

Bodily Injury:

\$1,000,000 each occurrence

\$2,000,000 aggregate - all other

Property Damage:

\$1,000,000 each occurrence

\$2,000,000 aggregate

If submitted, combined single limit policy with aggregate limits in the amounts of \$1,000,000 will be considered equivalent to the required minimum limits shown above.

(3) <u>Automotive</u>:

Comprehensive automotive liability coverage in the following minimum limits:

Bodily Injury:

\$1,000,000 each occurrence

Property Damage:

\$1,000,000 each occurrence

or

Combined Single Limit:

\$2,000,000 each occurrence

(4) Professional Liability:

Professional liability insurance which includes coverage for the professional acts, errors and omissions of Consultant in the amount of at least \$2,000,000.

B. <u>SUBROGATION WAIVER:</u>

Consultant agrees that in the event of loss due to any of the perils for which he/she has agreed to provide comprehensive general and automotive liability insurance, Consultant shall look solely to his/her insurance for recovery. Consultant hereby grants to City, on behalf of any insurer providing comprehensive general and automotive liability insurance to either Consultant or City with respect to the services of Consultant herein, a waiver of any right to subrogation which any such insurer of said Consultant may acquire against City by virtue of the payment of any loss under such insurance.

C. <u>FAILURE TO SECURE</u>:

If Consultant at any time during the term hereof should fail to secure or maintain the foregoing insurance, City shall be permitted to obtain such insurance in the Consultant's name or as an agent of the Consultant and shall be compensated by the Consultant for the costs of the insurance premiums at the maximum rate permitted by law and computed from the date written notice is received that the premiums have not been paid.

D. ADDITIONAL INSURED:

City, its City Council, boards and commissions, officers, and employees shall be named as an additional insured under all insurance coverages, except any professional liability insurance, required by this Agreement. The naming of an additional insured shall not affect any recovery to which such additional insured would be entitled under this policy if not named as such additional insured. An additional insured named herein shall not be held liable for any premium, deductible portion of any loss, or expense of any nature on this policy or any extension thereof. Any other insurance held by an additional insured shall not be required to contribute anything toward any loss or expense covered by the insurance provided by this policy.

E. <u>SUFFICIENCY OF INSURANCE</u>:

The insurance limits required by City are not represented as being sufficient to protect Consultant. Consultant is advised to confer with Consultant's insurance broker to determine adequate coverage for Consultant.

11. CONFLICT OF INTEREST:

Consultant warrants that it is not a conflict of interest for Consultant to perform the services required by this Agreement. Consultant may be required to fill out a conflict of interest form if the services provided under this Agreement require Consultant to make certain governmental decisions or serve in a staff capacity as defined in Title 2, Division 6, Section 18700 of the California Code of Regulations.

12. PROHIBITION AGAINST TRANSFERS:

Consultant shall not assign, sublease, hypothecate, or transfer this Agreement, or any interest therein, directly or indirectly, by operation of law or otherwise, without prior written consent of City. Any attempt to do so without said consent shall be null and void, and any assignee, sublessee, hypothecate or transferee shall acquire no right or interest by reason of such attempted assignment, hypothecation or transfer. However, claims for money by Consultant from City under this Agreement may be assigned to a bank, trust company or other financial institution without prior written consent. Written notice of such assignment shall be promptly furnished to City by Consultant.

The sale, assignment, transfer or other disposition of any of the issued and outstanding capital stock of Consultant, or of the interest of any general partner or joint venturer or syndicate member or cotenant, if Consultant is a partnership or joint venture or syndicate or cotenancy, which shall result in changing the control of Consultant, shall be construed as an assignment of this Agreement. Control means fifty percent (50%) or more of the voting power of the corporation.

13. SUBCONTRACTOR APPROVAL:

Unless prior written consent from City is obtained, only those people and subcontractors whose names and resumes are attached to this Agreement shall be used in the performance of this Agreement.

In the event that Consultant employs subcontractors, such subcontractors shall be required to furnish proof of workers' compensation insurance and shall also be required to carry general, automobile and professional liability insurance in reasonable conformity to the insurance carried by Consultant. In addition, any work or services subcontracted hereunder shall be subject to each provision of this Agreement.

14. PERMITS AND LICENSES:

Consultant, at his/her sole expense, shall obtain and maintain during the term of this Agreement, all appropriate permits, certificates and licenses including, but not limited to, a City Business License, that may be required in connection with the performance of services hereunder.

15. REPORTS:

A. Each and every report, draft, work product, map, record and other document, hereinafter collectively referred to as "Report", reproduced, prepared or caused to be prepared by Consultant pursuant to or in connection with this Agreement, shall be the exclusive property of City. Consultant shall not copyright any Report required by this Agreement and shall execute appropriate documents to assign to City the copyright to Reports created pursuant to this Agreement. Any Report, information and data acquired or required by this Agreement shall become the property of City, and all publication rights are reserved to City.

- B. All Reports prepared by Consultant may be used by City in execution or implementation of:
 - (1) The original Project for which Consultant was hired;
 - (2) Completion of the original Project by others;
 - (3) Subsequent additions to the original project; and/or
 - (4) Other City projects as appropriate.
- C. Consultant shall, at such time and in such form as City may require, furnish reports concerning the status of services required under this Agreement.
- D. All Reports required to be provided by this Agreement shall be printed on recycled paper. All Reports shall be copied on both sides of the paper except for one original, which shall be single sided.
- E. No Report, information or other data given to or prepared or assembled by Consultant pursuant to this Agreement shall be made available to any individual or organization by Consultant without prior approval by City.

16. RECORDS:

Consultant shall maintain complete and accurate records with respect to sales, costs, expenses, receipts and other such information required by City that relate to the performance of services under this Agreement.

Consultant shall maintain adequate records of services provided in sufficient detail to permit an evaluation of services. All such records shall be maintained in accordance with generally accepted accounting principles and shall be clearly identified and readily accessible. Consultant shall provide free access to such books and records to the representatives of City or its designees at all proper times, and gives City the right to examine and audit same, and to make transcripts therefrom as necessary, and to allow inspection of all work, data, documents, proceedings and activities related to this Agreement. Such records, together with supporting documents, shall be kept separate from other documents and records and shall be maintained for a period of three (3) years after receipt of final payment.

If supplemental examination or audit of the records is necessary due to concerns raised by City's preliminary examination or audit of records, and the City's supplemental examination or audit of the records discloses a failure to adhere to appropriate internal financial controls, or other breach of contract or failure to act in good faith, then Consultant shall reimburse City for all reasonable costs and expenses associated with the supplemental examination or audit.

17. NOTICES:

All notices, demands, requests or approvals to be given under this Agreement shall be given in writing and conclusively shall be deemed served when delivered personally or on the second business day after the deposit thereof in the United States Mail, postage prepaid, registered or certified, addressed as hereinafter provided.

All notices, demands, requests, or approvals from Consultant to City shall be addressed to City at:

City of Alameda Public Works Department 950 West Mall Square, Room 110 Alameda, CA 94501 Ph: (510) 747-7900/Fax: (510) 769-6030

All notices, demands, requests, or approvals from City to Consultant shall be addressed to Consultant at:

RMC WATER AND ENVIRONMENT 2001 NORTH MAIN STREET, SUITE 400 WALNUT CREEK, CALIFORNIA 94596 ATTN: Gisa Ju

,

18. **TERMINATION**:

In the event Consultant fails or refuses to perform any of the provisions hereof at the time and in the manner required hereunder, Consultant shall be deemed in default in the performance of this Agreement. If such default is not cured within a period of two (2) days after receipt by Consultant from City of written notice of default, specifying the nature of such default and the steps necessary to cure such default, City may terminate the Agreement forthwith by giving to the Consultant written notice thereof.

City shall have the option, at its sole discretion and without cause, of terminating this Agreement by giving seven (7) days' prior written notice to Consultant as provided herein. Upon termination of this Agreement, each party shall pay to the other party that portion of compensation specified in this Agreement that is earned and unpaid prior to the effective date of termination.

19. PURCHASES OF MINED MATERIALS REQUIREMENT:

Consultant shall ensure that all purchases of mined materials such as construction aggregate, sand and gravel, crushed stone, road base, fill materials, and any other mineral materials must originate from a surface mining operation identified on the AB3098 List per the Surface Mining and Reclamation Act of 1975 (SMARA).

Within five days of award of contract, Consultant shall submit a report to City which lists the intended suppliers for the above materials and demonstrates that the suppliers are in compliance with the SMARA requirements. The AB3098 List is maintained by the Department of Conservation's Office of Mine Reclamation (OMR) and can be viewed at: www.conservation.ca.gov/OMR/ab-3098-list/index.htm. Note that the list changes periodically and should be reviewed accordingly.

20. COMPLIANCES:

Consultant shall comply with all state or federal laws and all ordinances, rules and regulations enacted or issued by City.

21. CONFLICT OF LAW:

This Agreement shall be interpreted under, and enforced by the laws of the State of California excepting any choice of law rules which may direct the application of laws of another jurisdiction. The Agreement and obligations of the parties are subject to all valid laws, orders, rules, and regulations of the authorities having jurisdiction over this Agreement (or the successors of those authorities.)

Any suits brought pursuant to this Agreement shall be filed with the courts of the County of Alameda, State of California.

22. ADVERTISEMENT:

Consultant shall not post, exhibit, display or allow to be posted, exhibited, displayed any signs, advertising, show bills, lithographs, posters or cards of any kind pertaining to the services performed under this Agreement unless prior written approval has been secured from City to do otherwise.

23. WAIVER:

A waiver by City of any breach of any term, covenant, or condition contained herein shall not be deemed to be a waiver of any subsequent breach of the same or any other term, covenant, or condition contained herein, whether of the same or a different character.

24. INTEGRATED CONTRACT:

This Agreement represents the full and complete understanding of every kind or nature whatsoever between the parties hereto, and all preliminary negotiations and agreements of whatsoever kind or nature are merged herein. No verbal agreement or implied covenant shall be held to vary the provisions hereof. Any modification of this Agreement will be effective only by written execution signed by both City and Consultant.

25. **INSERTED PROVISIONS:**

Each provision and clause required by law to be inserted into the Agreement shall be deemed to be enacted herein, and the Agreement shall be read and enforced as though each were included herein. If through mistake or otherwise, any such provision is not inserted or is not correctly inserted, the Agreement shall be amended to make such insertion on application by either party.

26. CAPTIONS:

The captions in this Agreement are for convenience only, are not a part of the Agreement and in no way affect, limit or amplify the terms or provisions of this Agreement.

IN WITNESS WHEREOF, the parties have caused the Agreement to be executed on the day and year first above written.

RMC WATER AND ENVIRONMENT A California Corporation

CITY OF ALAMEDA A Municipal Corporation

Gisa M. Ju, P.E.//
Vice President

John Russo
City Manager

Stephen Clary Lynde / Me Hon Secretary

RECOMMENDED FOR APPROVAL:

Robert G. Haun Acting Public Works Director

APPROVED AS TO FORM: City Attorney

Stephanie Garrabrant-Sierra Assistant City Attorney

SCOPE OF SERVICES

CITY OF ALAMEDA SEWER SYSTEM MANAGEMENT PLAN UPDATE

This document describes RMC's proposed Scope of Services to assist with the update of the City's Sewer System Management Plan (SSMP) in FY13-14 to comply with the State Water Resources Control Board Order No. 2006-003-DWQ Statewide General Waste Discharge Requirements (WDR) for Sanitary Sewer Systems.

Task 1 - Document Review and Data Collection/Interviews

The purpose of this task is to compile and review information and documents relevant to the City's SSMP.

Document Review: RMC will review the following documents.

- Current SSMP
- Asset Management Implementation Plan (AMIP)
- Annual Reports to San Francisco Bay Regional Water Quality Control Board
- Annual Reports to USEPA for Stipulated Order
- Applicable Standard Operating Procedures (SOPs) and references from the SSMP
- Other relevant reports and information provided by the City

Note that RMC is familiar with the City's existing SSMP, AMIP, State and Regional SSMP requirements, and best management practices for sanitary sewer systems.

Data Collection/Interviews: RMC will meet with City staff to gather information, as necessary, on the existing programs and processes and may follow up with City staff to ask for clarification on information provided. RMC will perform one-day on-site visit to meet with City staff at City offices to gather information required to perform the SSMP update. Additional follow up data collection will be performed by conference call and site visits as needed.

Task 2 -Sewer System Management Plan (SSMP) Update

RMC will prepare a draft and final SSMP update for the City to meet the requirements of the WDR. RMC will reorganize the SSMP to follow the WDR elements as outlined in Order No. 2006-003-DWQ. Examples of specific areas RMC will update include:

- Goal: Work with City management staff to document any updates to the goal section.
- Organization: Update the organization section to meet WDR requirements and clearly identify roles and responsibilities or City staff responsible for the SSMP development and implementation.

Scope of Services

- Legal Authority: Reference existing legal authorities, ordinances, agreements, and other legally binding documents to demonstrate the City's compliance with the WDR.
- Operations and Maintenance Program: RMC will build upon the information available in the AMIP and will work with City staff to update the description of existing collection system operations, maintenance, and rehabilitation programs and processes as necessary to meet the requirements of the WDR.
- Design and Performance Provisions: Reference existing design and construction standards, specifications, and procedures and update the existing SSMP documentation to meet the requirements of the WDR.
- Overflow Emergency Response Plan: Update the existing Overflow Emergency Response Plan developed in March 2010 to incorporate current practices employed by the City and to address recent changes to the Monitoring and Reporting Program requirements included in Order No. WQ 2013-0058-EXEC.
- FOG Control Program Plan: Update the document to describe City's current FOG source control program and maintenance protocols to address FOG issues.
- System Evaluation and Capacity Assurance Plan: Document the sewer capacity and infiltration/inflow (I/I) assessments conducted as part of the 2010 Sanitary Sewer System Hydraulic Analysis and 2012 Subbasin Flow Monitoring and I/I Assessment, and describe the capital improvements completed and planned.
- Monitoring, Measurement, and Program Modifications: Define and document SSMP
 performance indicators currently utilized by the City for monitoring and measurement
 of SSMP effectiveness. Identify additional performance metrics the City will
 implement in the future for monitoring and measurement and work with the City to
 document a planned date for implementation in the SSMP.
- SSMP Program Audits: Document the plan, schedule, and process for performing SSMP audits.
- Communication Program: Document the specific communication protocols for public involvement.

City staff will review and provide comments on the draft SSMP update. RMC will then prepare a final SSMP update.

Task 2 Assumptions

• City will provide RMC all source files for existing SSMP in Microsoft Word (.doc or .docx) format.

Task 2 Deliverables

- Draft update of the SSMP
- Final update of the SSMP

Scope of Services

Task 3 - Project Management and QA/QC

RMC's Project Manager will monitor progress over the duration of the project and will provide monthly progress report to the City with project invoicing. This task will also include internal quality assurance/quality control reviews of the draft and final SSMP update documents.

Task 3 Deliverables

- Progress reports with project invoicing
- QA/QC review of draft and final SSMP update documents



City of Alameda Sewer System Management Plan Update

| Tasks | 第55 500 | | Lab | or | | 在4000000000000000000000000000000000000 | ODCs | Total |
|--|--------------------------|---|---|--|-------------------|--|--|--------------|
| | Gisa Ju PM & QA/QC | Michael Flores Technical Lead | Nishant Parulekar Staff Engineer | Dede Thomas Project Admin. | Total Hours | Total Labor Costs (1) | ODCs (2) | Total Fee |
| | | | | | | | | |
| sk 1: Document Review and Data Collection/Interviews | | ASSESSMENT OF THE PARTY OF THE | | CT 25 10 10 10 10 10 10 10 10 10 10 10 10 10 | Name of the last | Name of Street | DESIGNATION OF THE PARTY OF THE | |
| 1.1 Document Review | | 6 | 6 | | 12 | \$2,580 | | \$2,580 |
| 1.2 Data Collection/Interviews | 4 | 8 | 8 | | 20 | \$4,500 | \$500 | \$5,000 |
| Subtotal Task 1: | 4 | 14 | 14 | 0 | 32 | \$7,080 | \$500 | \$7,580 |
| sk 2: SSMP Update | | E-10 11 18 18 18 18 18 18 18 18 18 18 18 18 | | | GENERAL PROPERTY. | SEE TO DE | | E01180-33 |
| 1.1 Goal and Organization | 2 | 2 | | | 4 | \$1,040 | | \$1,040 |
| 1.2 Legal Authority | 2 | | 6 | | 8 | \$1,580 | | \$1,580 |
| 1.3 Operations and Maintenance | | 16 | | | 16 | \$4,080 | | \$4,080 |
| 1.4 Design and Performance Provisions | | 8 | | | 8 | \$2,040 | | \$2,040 |
| 1.5 Overflow Emergency Response Plan | | | 16 | | 16 | \$2,800 | | \$2,800 |
| 1.6 FOG Control Program Plan | | 8 | | | 8 | \$2,040 | | \$2,040 |
| 1.7 System Evaluation and Capacity Assurance Plan | 8 | | | | 8 | \$2,120 | | \$2,120 |
| 1.8 Monitoring, Measurement, and Program Modifications | | | 4 | | 4 | \$700 | | \$700 |
| 1.9 SSMP Program Audits | | | 2 | | 2 | \$350 | | \$350 |
| 1.10 Communication Program | | 2 | 4 | | 6 | \$1,210 | | \$1,210 |
| Subtotal Task 2: | 12 | 36 | 32 | 0 | 80 | \$17,960 | \$0 | \$17,960 |
| sk 3: Project Management & QA/QC | | | | | | | red de sal | |
| 3.1 Project Management | 4 | | 2 | 4 | 10 | \$1,790 | | \$1,790 |
| 3.2 QA/QC | 6 | 2 | | | 8 | \$2,100 | | \$2,100 |
| Subtotal Task 3: | 10 | 2 | 2 | 4 | 18 | \$3,890 | \$0 | \$3,890 |
| TOTAL | 26 | 52 | 48 | 4 | 130 | \$28,930 | \$500 | \$29,430 |

^{1.} The individual hourly rates include salary, overhead and profit. RMC reserves the right to adjust its hourly rate structure at the beginning of the calendar year for all ongoing contracts. RMC will provide advance notice of any rate changes affecting current contracts.

^{2.} Other Direct Costs (ODCs) include project-specific costs such as outside reproduction, overnight delivery, mileage, and travel expenses; includes 10% markup.

ACORD. CERTIFICATE OF LIABILITY INSURANCE DATE (MIN/CD/YY) 10/15/2013 PRODUCER THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. Dealey, Renton & Associates P. O. Box 12675 Oakland, CA 94604-2675 **INSURERS AFFORDING COVERAGE** 510 465-3090 David C. Eckman INSURER A: Travelers Indemnity Co. of Conn **RMC Water and Environment** INSURER B: Travelers Property Casualty Co 2001 N. Main Street, Suite 400 INSURER C: ACE American Insurance Company Walnut Creek, CA 94596 INSURER D: INSURER E: COVERAGES THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN. THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. AGGREGATE LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS. POLICY EFFECTIVE POLICY EXPIRATION DATE (MM/DD/YY) DATE (MM/DD/YY) TYPE OF INSURANCE **POLICY NUMBER** LIMITS A **GENERAL LIABILITY** 6805427L498 10/14/13 10/14/14 EACH OCCURRENCE \$1,000,000 X COMMERCIAL GENERAL LIABILITY s300,000 FIRE DAMAGE (Any one fire) CLAIMS MADE | X OCCUR MED EXP (Any one person) \$5,000 PERSONAL & ADV INJURY \$1,000,000 GENERAL AGGREGATE \$2,000,000 GEN'L AGGREGATE LIMIT APPLIES PER: PRODUCTS -COMP/OP AGG | \$2,000,000 POLICY X PRO-**AUTOMOBILE LIABILITY** BA5427L23A 10/14/13 10/14/14 COMBINED SINGLE LIMIT (Ea accident) \$1,000,000 ANY AUTO ALL OWNED AUTOS SODILY INJURY (Per person) CITY OF ALAMEDA SCHEDULED AUTOS X HIRED AUTOS Risk Management BODILY INJURY (Per accident) s X NON-OWNED ALITOS PROPERTY DAMAGE (Per scodent) Risk Manadei GARAGE LIABILITY **AUTO ONLY - EA ACCIDENT** ANY AUTO EA ACC OTHER THAN AUTO ONLY: AGG В **EXCESS LIABILITY** CUP7371Y987 10/14/13 10/14/14 EACH OCCURRENCE \$4,000,000 X OCCUR CLAIMS MADE AGGREGATE \$4,000,000 DECUCTIBLE RETENTION В **WORKERS COMPENSATION AND** UB3916T448 X WC STATU-07/01/13 07/01/14 EMPLOYERS' LIABILITY s1,000,000 E.L. EACH ACCIDENT E.L. DISEASE - EA EMPLOYEE \$1,000,000 E.L. DISEASE - POLICY LIMIT | \$1,000,000 OTHER Professional EONG21657372010 10/14/13 10/14/14 \$2,000,000 per Claim & Contractor's \$2,000,000 Anni Aggr. Pollution Liab. DESCRIPTION OF OPERATIONS/LOCATIONS/VEHICLES/EXCLUSIONS ADDED BY ENDORSEMENT/SPECIAL PROVISIONS General Liability policy excludes claims arising out of Professional Services. REF: ALL OPERATIONS OF THE NAMED INSURED. GENERAL LIABILITY/AUTOMOBILE LIABILITY ADDITIONAL INSURED: The City of Alameda, its City Council, boards and commissions, officers, employees and volunteers. Primary insurance and severability of interests apply per policy form. Waiver of Subrogation applies to Commercial General Liability, Automobile Liability and Workers' Compensation.

| CERTIFICATE HOLDER ADDITIONAL INSURED; INSURER LETTER: | CANCELLATION |
|---|--|
| City of Alameda Attn: Risk Manager 2263 Santa Clara Avenue, Room 120 Alameda, CA 94501 | SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL XNROWXXXXX MAIL 30 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BYRANIXRXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX |
| | No. Control of the co |

POLICY NUMBER: 6805427L498

COMMERICAL GENERAL LIABILITY

ISSUE DATE: 10/14/13

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

ADDITIONAL INSURED (ARCHITECTS, ENGINEERS AND SURVEYORS)

This endorsement modifies insurance provided under the following: COMMERCIAL GENERAL LIABILITY COVERAGE PART

SCHEDULE

NAME OF PERSON(S) OR ORGANIZATION(S): City of Alameda

Attn: Risk Manager

2263 Santa Clara Avenue, Rocm 120

Alameda, CA 94501

PROJECT/LOCATION OF COVERED OPERATIONS:

The City of Alameda, its City Council, boards and commissions, officers, employees and volunteers.

PROVISIONS

A The following is added to WHO IS AN INSURED (Section II):

The person or organization shown in the Schedule above is an additional insured on this Coverage Part, but only with respect to liability for bodily injury", 'property damage" or 'personal injury caused, in whole or in part, by your acts or omis sions or the acts or omissions of those acting on your behalf:

- a. In the performance of your ongoing operations:
- In connection with premises owned by or rented to you; or
- In connection with your work and included within the "products-completed operations hazard.*

Such person or organization does not qualify as an additional insured for "bodily injury", "property damage" or "personal injury' for which that person or organization has assumed liability in a contract or agreement.

The insurance provided to such additional insured is limited as follows:

- This insurance does not apply to the rendering of or failure to render any "professional" services".
- e. The limits of insurance afforded to the additional insured shall be the limits which you agreed in that 'contract or agreement requiring insurance" to provide for that additional insured, or the limits shown in the this Coverage Part, Declarations for whichever are less. This endorsement does not increase the limits of insurance stated in the LIMITS OF INSURANCE (Section III) for this Coverage Part.
- B. The following is added to Paragraph a. of 4. Other Insurance in COMMERCIAL GENERAL LIABILITY CONDITIONS (Section IV): However, if you specifically agree in a contract or agreement requiring insurance that, for the additional insured shown in the Schedule, the insurance provided to that additional insured under this

ITY OF ALAMEDA

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Coverage Part must apply on a primary basis, or a primary and non-contributory basis, this insurance is primary to other insurance that is available to such additional insured which covers such additional insured as a named insured, and we will not share with the other insurance, provided that:

- The "bodily injury" or "property damage" for which coverage is sought occurs; and
- (2) The "personal injury" for which coverage is sought arises out of an offense committed:

after you have entered into that "contract or agreement requiring insurance" for such additional insured. But this insurance still is excess over valid and collectible other insurance, whether primary, excess, contingent or on any other basis, that is available to the additional insured when the additional insured is also an additional insured under any other insurance.

C. The following is added to Paragraph 8. Transfer Of Rights Of Recovery Against Others To Us in COMMERCIAL GENERAL LIABILITY CONDITIONS (Section IV):

We waive any rights of recovery we may have against the additional insured shown in the Schedule above because of payments we make for "bodily injury", "property damage" or "personal injury" arising out of "your work" on or for the project, or at the location, shown in the Schedule above, performed by you, or on your behalf, under a "contract or agreement requiring insurance" with that additional insured. We waive these rights only where you have agreed to do so as part of the "contract or agreement requiring insurance" with that additional insured entered into by you before, and in effect when, the "bodily injury" or "property damage" occurs, or the "personal injury" offense is committed.

D. The following definition is added to DEFINITIONS (Section V):

"Contract or agreement requiring insurance" means that part of any contract or agreement under which you are required to include the person or organization shown in the Schedule as an additional insured on this Coverage Part, provided that the "bodily injury" and "property damage" occurs, and the "personal injury" is caused by an offense committed:

- After you have entered into that contract or agreement;
- b. While that part of the contract or agreement is in effect; and
- c. Before the end of the policy period.

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THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

DESIGNATED INSURED

This endorsement modifies insurance provided under the following:

BUSINESS AUTO COVERAGE FORM GARAGE COVERAGE FORM MOTOR CARRIER COVERAGE FORM TRUCKERS COVERAGE FORM

With respect to coverage provided by this endorsement, the provisions of the Coverage Form apply unless modified by this endorsement.

This endorsement identifies person(s) or organization(s) who are "insureds" under the Who Is An Insured Provision of the Coverage Form. This endorsement does not alter coverage provided in the Coverage Form.

This endorsement changes the policy effective on the inception date of the policy unless another date is indicated below.

| Endorsement effective 10/14/13 | |
|---|---------------------------|
| Named Insured RMC Water and Environment | Countersigned by Mille Ci |

(Authorized Representative)

SCHEDULE

Name of Person(s) or Organization(s):

The City of Alameda, its City Council, boards and commissions, officers, employees and volunteers.

(If no entry appears above, information required to complete this endorsement will be shown in the Declarations as applicable to the endorsement.)

Each person or organization shown in the Schedule is an "insured" for Liability Coverage, but only to the extent that person or organization qualifies as an "insured" under the Who Is An Insured Provision contained in Section II of the Coverage Form.

ITY OF ALAMEDA
Risk Management

Akil, City Risk Manager

Insured:

RMC Water and Environment

Policy Number:

UB3916T448

Effective Date:

07/01/13

THIS ENDORSEMENT CHANGES THE POLICY. PLEASE READ IT CAREFULLY.

WAIVER OF OUR RIGHT TO RECOVER FROM OTHERS ENDORSEMENT - CALIFORNIA

We have the right to recover our payments from anyone liable for an injury covered by this policy. We will not enforce our right against the person or organization named in the Schedule. (This agreement applies only to the extent that you perform work under a written contract that requires you to obtain this agreement from us.)

You must maintain payroll records accurately segregating the remuneration of your employees while engaged in the work described in the Schedule.

The additional premium for this endorsement shall be otherwise due on such remuneration.

% of the California workers' compensation premium

SCHEDULE

Person or Organization

Job Description

City of Alameda

Attn: Risk Manager

2263 Santa Clara Avenue, Room 120

Alameda, CA 94501

The City of Alameda, its City Council, boards and commissions, officers, employees and

CITY OF ALAMEDA

Risk Management

Ucretia Akii, City Risk Manager

Countersigned by Mille

Authorized Representative

Form WC 04 03 06 Process Date: (1) Printed in U.S.A.

Policy Expiration Date: